

**PEP Form (Corporation)**

**FILL OUT THIS FORM ONLY IF:**  
**Beneficial Owner(s) of the Corporation/Legal Entity or Person(s) with Authority over this account and member(s) of their family or person(s) connected to this account qualify as Politically Exposed Persons (PEP); Head of an International Organization (HIO); or Close Associate (CA) with a person deemed a PEP and/or HIO.**

**Definitions:**

**Family members** include the person’s children, parents, spouse or common-law partner and parents of the spouse or common-law partner and the children of the person’s mother or father.

A **Politically Exposed Foreign Person (PEFP)** is defined as a person who holds or has ever held any of the following offices or positions in or on behalf of a foreign state: Head of State or Government; Member of Executive Council of Government (including Minister), Deputy Minister or equivalent; Member of a Legislature; Ambassador or Ambassador’s Attaché or Counselor; Military Officer with a rank of General or higher; President of a state-owned company or bank; Head of Government Agency; Judge; or a Leader or president of a political party in a legislature.

**Politically Exposed Domestic Person (PEDP)** is defined as a person who holds, has ever held or is considered a Family Member<sup>1</sup> of one of the following offices or positions: Governor General, lieutenant governor or head of government; Member of the Senate or House of Commons or member of a legislature; Deputy Minister or equivalent rank; Ambassador, or attaché or counsellor of an ambassador; Military officer with a rank of general or above; President of a corporation that is wholly owned directly by Her Majesty in right of Canada or a province; Head of a government agency; Judge of an appellate court in a province, the Federal Court of Appeal or the Supreme Court of Canada; Leader or president of a political party represented in legislature; or Mayor\*. A person ceases to be a Domestic PEP 5 years after they have left office.

\*In line with Canadian legislation, municipal governments include cities, towns, villages, and rural or metropolitan municipalities. A mayor is the head of a city, town, village or rural or metropolitan municipality, regardless of the size or population.

A **Head of an International Organization (HIO)** means the head of an organization, or their Family Member<sup>1</sup>, that is established by the government of states or the head of an institution established by an international organization. Examples of International Organizations are NATO, the United Nations, European Space Agency, Asian Development Bank etc. A more comprehensive listing can be viewed on FINTRAC’s website.

**Close Associates (CA)** are individuals who are closely connected for personal or business reasons to a PEP or HIO. Examples of Close Associates may include: business partners; are in a romantic relationship with; involved in financial transactions; prominent member of the same political party or union; serve on the same board or closely carry out the same charitable work.

**1. Who is PEP/HIO/CA?**

- Applicant or his/her Family Member  Joint Applicant or his/her Family Member

*If there is more than one PEP/HIO/CA connected to this account, please complete a separate form for each person.*

**2. What is the full name of the PEP/HIO/CA?**

First Name \_\_\_\_\_ Last Name \_\_\_\_\_

**3. What is the relationship of the person named above to the account holder(s)?**

- Self  Spouse or Common-law Partner  
 Child  Mother or Father  
 Brother or Sister or Step-Brother or Step-Sister  Mother or Father of Spouse or Common-law Partner  
 Other \_\_\_\_\_

**4. In what country and when was the position held?**

Country \_\_\_\_\_ Start Date \_\_\_\_\_ Termination Date \_\_\_\_\_  
DD/MM/YYYY DD/MM/YYYY

**5. What position was held by the person named above?**

\_\_\_\_\_



15 York Street, 2nd Floor Toronto, ON M5J 0A3 Canada Phone: 1.877.310.1088 Fax: 416.288.8611

**6. What is the source of funds being or will be deposited in the account? Please mark all boxes that apply.**

- Employment Income                       Investment Income                       Savings                       Inheritance
- Payment for Legal Settlement                       Sale of an Asset                       Gift                       Other \_\_\_\_\_

**7. What is the source of wealth of PEP / HIO / CA (origin of total assets)? Please mark all boxes that apply.**

- Business Undertakings                       Previous and Current Employment Income                       Real Estate                       Inheritance
- Family Estates                       Investments                       Lottery Winnings                       Other \_\_\_\_\_

**Applicant Certification**

By signing below, I certify that the information provided above is current, complete and accurate, and I will update this information as soon as there are any change in the future.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

  
  

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**Name of Applicant (On behalf of Corporation)**                      **Signature of Applicant (On behalf of Corporation)**